# PARTICIPANT GUIDE

# Graduated Sanctions: Effective Intervention Strategies For Addressing Delinquency

# A Live National Satellite Broadcast

Produced by the Office of Juvenile Justice and Delinquency Prevention U.S. Department of Justice And the Juvenile Justice Telecommunications Assistance Project Eastern Kentucky University—Training Resource Center in cooperation with The Juvenile Sanctions Center and the National Council of Juvenile and Family Court Judges National District Attorneys Association

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# Agenda

# **Graduated Sanctions: Effective Intervention Strategies for Addressing Delinquency**

# March 22, 2005

\*All times listed are EST and approximate

- 1:15-1:45 PM Pre-conference Site Activities; Test Slate
- 1:45-1:55 PM Overview Videotape and Welcome
- 1:55-2:08 PM Panel Discussion: The National Perspective
- 2:08-2:18 PM Video: Graduated Sanctions in Dayton, OH
- 2:18-2:29 PM Panel Discussion: Audience Q & A
- 2:29-2:38 PM Video: Graduated Sanctions in San Jose, CA
- 2:38-2:49 PM Panel Discussion: Audience Q & A
- 2:49-3:00 PM Video: Graduated Sanctions in St. Joseph, MO
- 3:00-3:10 PM Panel Discussion: Audience Q & A
- 3:10-3:15 PM Closing Comments
- 3:15PM Sign-off

#### **Broadcast Overview**

Over the past decade, graduated sanctions has become the predominant conceptual framework for organizing interventions with juvenile offenders. The model first received widespread attention when it was included as a key component of the Office of Juvenile Justice and Delinquency Prevention's (OJJDP) Comprehensive Strategy for Serious, Violent, and Chronic Juvenile Offenders (1993). Beginning in fiscal year 1998, OJJDP was appropriated \$250 million for the Juvenile Accountability Incentive Block Grant Program (renamed the Juvenile Accountability Block Grant Program with the 2002 reauthorization of the Juvenile Justice and Delinquency Prevention Act). This program, designed to assist states and local units of government, promotes greater accountability in the juvenile justice system and helps communities become more effective in holding juvenile offenders accountable, reducing recidivism, and protecting students, school personnel, and the community from drug, gang, and youth violence.

The tenets of graduated sanctions have considerable appeal to policymakers, practitioners, and the general public. The term "graduated sanctions" as defined in the Juvenile Justice and Delinquency Prevention Act of 2002 means:

"an accountability-based graduated series of sanctions (including incentives, treatment, and services) applicable to juveniles within the juvenile justice system to hold such juveniles accountable for their actions and to protect communities from the effects of juvenile delinquency by providing appropriate sanctions for every act for which a juvenile is adjudicated delinquent, by inducing their law-abiding behavior, and by preventing their subsequent involvement with the juvenile justice system."

This intervention emphasizes the need to hold juvenile offenders accountable for any and all offenses they commit and promotes the use of progressively more severe sanctions for repeat offenders. It also recognizes the need to couple sanctions with a range of service interventions to address underlying problems and to help prevent a youth's return to the system. Ultimately, graduated sanctions is envisioned as a multi-tiered continuum of interventions that allows the juvenile justice system to carefully match its sanction and treatment response to each youth's offense severity, level of risk, and service needs.

In the fall of 2001, to promote more widespread implementation of graduated sanctions systems, OJJDP funded the creation of the Juvenile Sanctions Center. The Center, an arm of the National Council of Juvenile and Family Court Judges, was established to help jurisdictions around the country create or strengthen a continuum of accountability-based sanctioning programs. At the front end of the continuum are **immediate sanctions**, which are targeted toward less serious non-chronic offenders. They are designed as early interventions that can hold youth accountable for their actions by sanctioning illegal behavior and, if required, securing needed services. Typical immediate sanctions include restorative justice interventions. Immediate sanctions are frequently delivered in the context of diversion from formal court processing. **Intermediate sanctions** are appropriate for juveniles who continue to offend following immediate interventions, youth who have committed more serious felony offenses, and some violent offenders who need supervision, structure, and monitoring, but not necessarily confinement. This type of sanction includes community-based corrections such as intensive supervision, day treatment, probation, electronic monitoring, and alternative schools. **Secure care** provides treatment and transition services while a youth is removed from home, usually in a state training school or a residential treatment facility. Transition services span the final phase of confinement and the first phase of reentry and includes prerelease planning with the offender, family, community agencies, and the local team interacting with the court during this phase. **Reentry** are those sanctions and services applied during the planned period of community supervision following release, leading to case closure/termination.

In the fall of 2003, the Juvenile Sanctions Center announced 10 demonstration sites to create or improve juvenile accountability-based sanctions programs, concentrating initially on immediate and intermediate sanctions. These sites include: San Jose, CA; Hartford, CT; La Grange, GA; St. Joseph, MO; Missoula, MT; Omaha, NE; Las Vegas, NV; Dayton, OH; Nashville/Franklin, TN; and Newport News, VA. Three additional sites were added in 2004 that include: Ft. Myers, FL; Indianapolis, IN; and Toledo, OH. The primary focus of the 13 demonstration sites is to help local courts and communities develop their programmatic continuum of immediate, intermediate, secure care, and reentry sanctions for youth who have been referred to the juvenile court. In 2005, a new focus will include the provision of cross-site technical assistance and training to replicate these efforts throughout the country.

## **Featured Programs**

#### St. Joseph, MO

In St. Joseph, the graduated sanctions program explores opportunities that best address the needs of youth, families, and the community through the implementation of creative restorative justice models and the use of strength-based programs that emphasize court diversion.

The innovative sanctions practices used in St. Joseph for juvenile offenders include the following:

- The adaptation of the juvenile drug court model to encompass all juveniles on probation, using such innovations as personal monthly reviews before the bench during non-traditional court hours and graduation ceremonies and rewards for juveniles at the end of probation.
- The establishment of a board comprised of a broad array of community leaders, including representatives from the public schools and news media, to serve as volunteer advisors to the juvenile court.
- The formation of Community Justice Committees, restorative justice boards of volunteers, to divert first-time offenders from the court to a variety of sanctions, including community service, restitution, counseling, and mediation.
- The establishment of partnerships with community organizations, such as the Boy Scouts, for leadership training and other services that encourage youth to recognize their potential and change their lives.
- The use of as many sources of existing local and state funding and resources as possible to support sanctions programs.

Contact: Chad Campbell, Buchanan County Chief Juvenile Officer, 816-271-1421, chadcampbell@osca.state.mo.us

## <u>San Jose, CA</u>

One of the most innovative approaches to graduated sanctions is the juvenile mental health court. These courts focus on treatment to restore, heal, and reduce criminal activity. They provide youthful offenders who have an established mental health diagnosis with better access to treatment, consistent supervision, support to develop healthy relationships with their families, a more successful academic experience, and reduced criminal involvement. As with the juvenile drug court, these courts focus on establishing realistic accountability goals and expectations for each youth. Assessment and close monitoring are critical components of this type of court.

Among the innovative sanctions practices used in San Jose for juvenile offenders are:

• The convening of the nation's first juvenile mental health court in February 2001, placing Santa Clara County Superior Court in a national leadership role in addressing the mental health issues of juvenile offenders.

- The establishment of a strong collaboration among treatment and mental health representatives, judicial officers, prosecutors, public defenders, and juvenile probation officers to build consensus before recommending juvenile offenders to the juvenile mental health court.
- More humane treatment of juvenile offenders with serious mental illness, which relieves overcrowding of detention facilities and decreases recidivism.

Contact: Judge Ray Davilla, Santa Clara County Superior Court, <u>rdavilla@sct.co.santa-clara.ca.us;</u> Judy Marshall, JMHC Acting Probation Supervisor, 408-278-6021, judi marshall@ljpdsrv42.jpd.co.santa-clara.ca.us

## Dayton, OH

In implementing graduated sanctions for juveniles, an intervention center is a valuable part of the process. It can be used as a 24-hour, 7-day-a-week centralized intake, screening, assessment, and processing department for children referred to the court for delinquency and unruly behavior. Referrals can come from a variety of sources, including law enforcement, schools, and parents. The center can assist law enforcement by providing a place to bring juveniles who may not be released outright and can return police officers to duty more quickly. For youth brought to an intervention center, such as the one in Dayton, services are immediately available.

Among the innovative sanctions practices used in Dayton for juvenile offenders are:

- The front-loading of services to children and families, expedited case flow, and a reduction in the number of youth who require secure detention.
- The use of behavioral health screens and, when necessary, full diagnostic mental health and alcohol and drug use assessments.
- The use of face-to-face family interventions and referrals for treatment when warranted.
- The establishment of an Intervention Center Prevention and Policy Board, a broad range of people involved in juvenile justice, directly and indirectly, to help guide the policies and procedures instituted by the Intervention Center.

Contact: Greg Scott, Director, Montgomery County (Dayton) Juvenile Court Intervention Center, 937-225-4829, <u>scottg@mcohio.org</u>

## **Juvenile Sanctions Center Publications**

Training and Technical Assistance Bulletins

- Vol. I No.1: Introducing the New Juvenile Sanctions Center, 2002
- Vol. I No. 2: Structured Decision-Making for Graduated Sanctions, 2002
- Vol. I No. 3: School-Based Probation: An Approach Worth Considering, 2003
- Vol. I No. 4: Promising Sanctioning Programs in a Graduated System, 2003
- Vol. II No. 1: A Practical Approach to Linking Graduated Sanctions with a Continuum of Effective Programs, 2004
- Vol. II No. 2: Using Title IV-E Money to Expand Sanctions and Services for Juvenile Offenders, 2004
- Vol. II No. 3: Three Innovative Court-Involved Reentry Programs, 2004
- Vol. II No. 4: Resource Reallocation: The Clark County Experience, 2004
- Vol. II No. 5: Overcoming Barriers to Employment for Youth in the Juvenile Justice System: A Practical Guide, 2005

#### **Training Publications**

- Graduated Sanctions for Juvenile Offenders, Volume I: A Training Curriculum Guide, 2003
- Graduated Sanctions for Juvenile Offenders, Volume II: A Training and Curriculum Guide: Dispositional Court Hearing to Case Closure, 2005
- Graduated Sanctions for Juvenile Offenders: A Program Model and Planning Guide, 2003
- Program Model and Planning Guide, Vol. II: Dispositional Court Hearing to Case Closure, 2005
- Juvenile Sanctions Center, Monograph I, 2003
- Juvenile Sanctions Center, Monograph II, 2005

#### TO OBTAIN COPIES, CALL, E-MAIL, OR FAX Juvenile Sanctions Center National Council of Juvenile and Family Court Judges P.O. Box 8970 Reno, NV 89507 Phone: 775.784.6012 Facsimile: 775.784.6628 E-mail: JSC@ncjfcj.org

# **Program Panelists**

#### **J. Robert Flores**

J. Robert Flores was confirmed by the Senate on April 12, 2002, and was sworn in on April 17, 2002, as the Administrator of OJJDP, at the Office of Justice Programs of the U.S. Department of Justice. As Administrator, Mr. Flores provides executive direction to the agency responsible for leading the nation in addressing juvenile delinquency, crime, and victimization. Mr. Flores directs activities that support states and communities in their efforts to develop and implement effective prevention and intervention programs and improve the juvenile justice system so that it protects the public safety, holds offenders accountable, and provides treatment and rehabilitative services tailored to the needs of juveniles and their families. Prior to his OJJDP appointment, Mr. Flores was Vice President and Senior Counsel for the National Law Center for Children and Families. Before that, he was Senior Trial Attorney and Acting Deputy Chief in the Child Exploitation and Obscenity Section, Criminal Division, of the U.S. Department of Justice. He has also served as an Assistant District Attorney in Manhattan.

#### **David Gamble**

David J. Gamble is the Director of the National Council of Juvenile and Family Court Judges' (Council) Juvenile Sanctions Center. The Council is the nation's oldest and largest non-profit membership organization solely devoted to improving juvenile and family courts. The Juvenile Sanctions Center creates and improves juvenile accountability based sanctioning programs for youth who have contact with the juvenile court. The Center helps 14 demonstration sites across the country develop programs and activities that enhance the competencies and skills of delinquent youth. Mr. Gamble has degrees from Penn State University, University of Pittsburgh, and the University of Nevada at Reno. He serves on the boards of national and statewide juvenile justice organizations.

#### Wadie Thomas, Jr.

Judge Wadie Thomas has served as the Juvenile Court Judge for Douglas County, NE, since September 1995 and chairs the National Council of Juvenile and Family Courts' Juvenile Graduated Sanctions Advisory Committee. Prior to his appointment to the court, he was in private practice for 15 years. Judge Thomas received his B.S. from Alabama State University and his law degree from Creighton University in Omaha, NE.

## **Howard Snyder**

Dr. Howard Snyder has been the Director of Systems Research at the National Center for Juvenile Justice since 1981. Over the years he has directed several national research efforts funded by the Office of Juvenile Justice and Delinquency Prevention, the Bureau of Justice Statistics, and the National Institute of Justice. In collaboration with many colleagues, publications flowing from these efforts have provided an accurate understanding of juvenile crime and victimization and the activities of the juvenile justice system. His research has studied the nature of violent crime against young children, differences in the daily cycles of violent crime by and against juveniles, juvenile suicide characteristics and trends, age and racial bias in arrest, racial and gender disparity in justice system processing, the developmental structure of juvenile delinquent careers, juvenile transfers to criminal court, and international differences in juvenile crime and justice systems.

## Mindy Shannon Phelps, Moderator

Ms. Phelps has moderated numerous national satellite videoconferences produced by OJJDP. Her professional experience includes serving as a co-anchor of WLEX-TV's evening newscast. WLEX is an NBC affiliate located in Lexington, KY. Ms. Phelps has served as Press Secretary for the Governor's Office in the Commonwealth of Kentucky.

#### Previous Satellite Videoconferences Produced by the Office of Juvenile Justice and Delinquency Prevention

**Conditions of Confinement in Juvenile Corrections** and Detention Facilities September 1993 **Community Collaboration** June 1995 Effective Programs for Serious, Violent, and **Chronic Juvenile Offenders** October 1995 Youth-Oriented Community Policing December 1995 Juvenile Boot Camps February 1996 **Conflict Resolution for Youth** May 1996 **Reducing Youth Gun Violence** August 1996 Youth Out of the Education Mainstream October 1996 Has the Juvenile Court Outlived Its Usefulness? December 1996 Youth Gangs in America March 1997 **Preventing Drug Abuse Among Youth** June 1997 Mentoring for Youth in Schools and Communities September 1997 Juvenile Offenders and Drug Treatment: **Promising Approaches** December 1997 **Comprehensive Juvenile Justice in State** Legislatures February 1998 **Protecting Children Online** March 1998 Youth Courts: A National Movement May 1998 **Risk Factors and Successful Interventions for** Serious and Violent Juvenile Offenders September 1998 White House Conference on School Safety: **Causes and Prevention of Youth Violence** October 1998 Juveniles and the Criminal Justice System December 1998

Females and the Juvenile Justice System May 1999 **Promising Practices for Safe and Effective Schools** September 1999 **Online Safety for Children: A Primer for Parents** and Teachers November 1999 Model Court Practices in Abuse and Neglect Cases February 2000 Crowding in Juvenile Detention: A Problem Solving **Approach** April 2000 "How Shall We Respond to the Dreams of Youth?" A National Juvenile Justice Summit June 2000 **Combating Underage Drinking** September 2000 Child Delinquency: Early Intervention and Prevention November 2000 **Employment and Training for Court-Involved** Youth February 2001 Mental Health Issues and Juvenile Justice April 2001 Restorative Justice: Repairing Harm, Reducing **Risk and Building Community** June 2001 Going Home: Serious and Violent Offender Reentry Initiative February 2002 **OJJDP Report:** A Discussion with J. Robert Flores December 2002 **Mentoring Matters** February 2003 Community Responses to Truancy: Engaging Students in School April 2003 Working Together To Stop the Prostitution of Children December 2003 Strategies, Programs and Resources To Prevent Truancy February 2004

#### **For Further Information**

Videos of previous OJJDP videoconferences can be purchased from the Juvenile Justice Clearinghouse, P.O. Box 6000, Rockville, MD 20849-6000; call 800-851-3420; email <u>askncjrs@ncjrs.org</u>.; or visit www.ojjdp.ncjrs.org